

Better governance through efficient, group-wide policy management

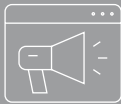
msg.**rethink.solutions**
Governance



Keeping pace with regulatory change, reducing risk and ensuring transparency.

Are you Compliance Officer of a global, international financial institution with locations in multiple jurisdictions or different entities per business line? If so, you can simplify group-wide anti-financial crime policy management. Align policies, procedures and rules with regulatory requirements and automate distribution and implementation across the group with a cloud-based software solution.

The intuitive and easy-to-use interface allows you to update existing policies or create new ones for your organisation. Tagging automatically classifies your content and makes it easier for users to search documents. Workflows and dialogue capabilities simplify document review and approval processes and help align local regulatory requirements with corporate policies.



Central policy portal for
quick and easy access



Automated distribution
of policies and tasks to
entities and branches



More transparency
in the implementation
by local teams



Binding evidence of
policy implementation for
auditors and regulators



Break down silos, connect
teams, build compliance
resilience



Maximise performance,
improve accountability,
ensure traceability

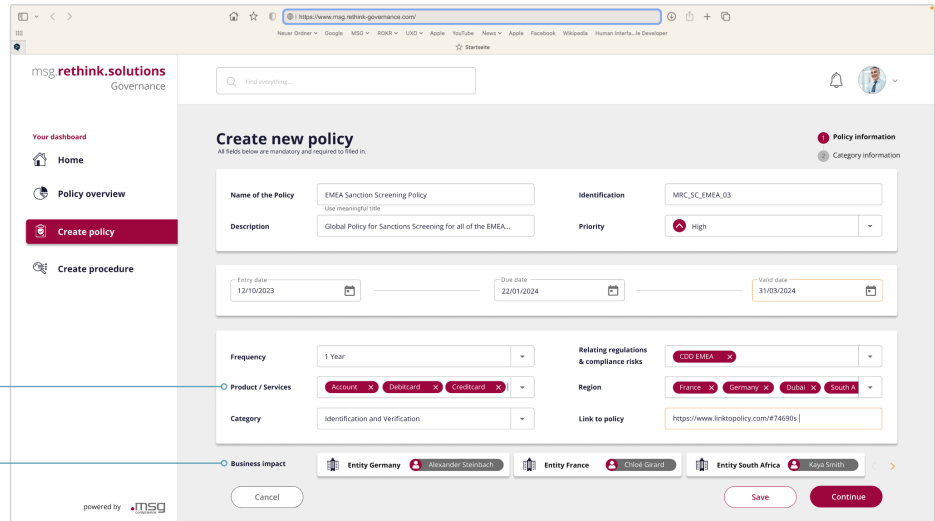
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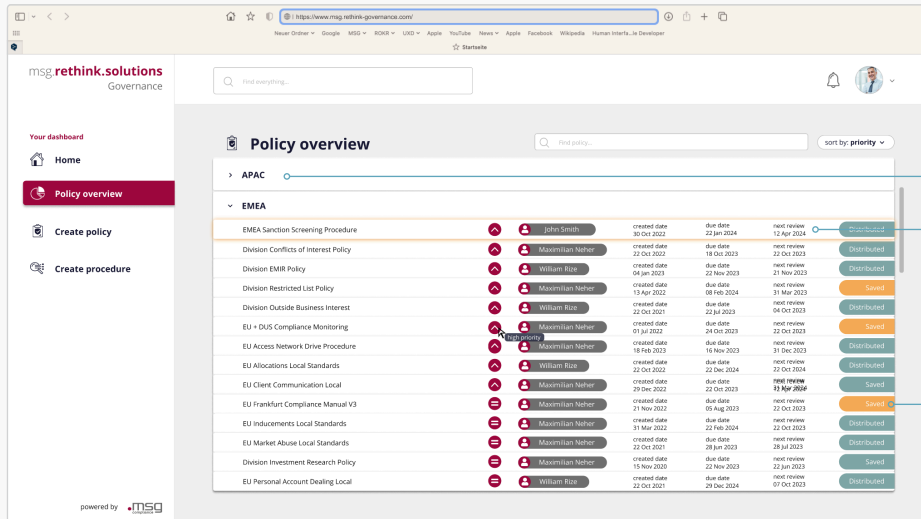
1 | Distribute your corporate policies easily and effectively.

Assignment of products, regulations, regions

Automatic entity derivation



2 | Keep track, create transparency, improve collaboration.



Filtering policies by region

Creation, due date, next review

Implementation status directive/procedure

3 | Control and manage complexity across the group.

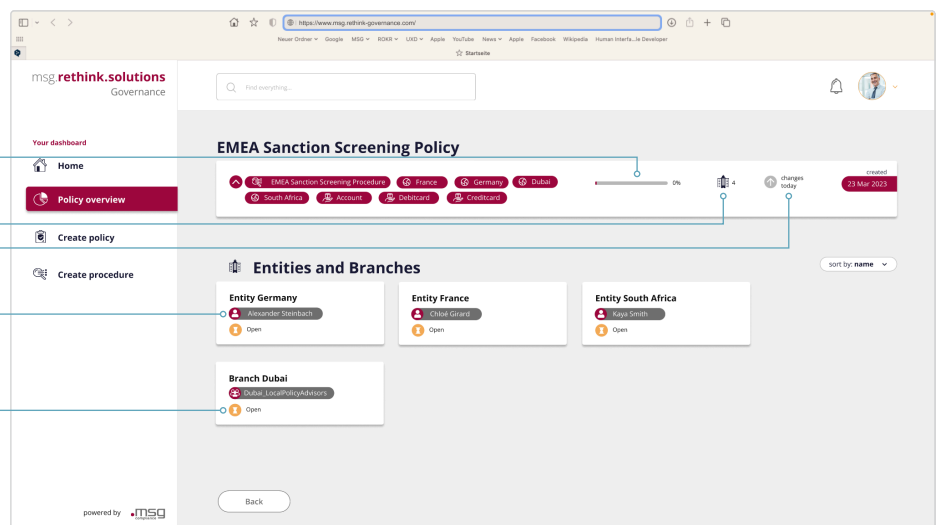
Group implementation status

Number of entities

Changes from the previous day

Communication with teams

Entity/branch implementation status





The Anti-Financial Crime Governance Platform for Chief Compliance Officers

Policy management is part of our Anti-Financial Crime Governance Platform, which provides corporate compliance officers with a holistic, centralised and lifecycle view of federated approaches to anti-financial crime. The solution supports the standardisation of group models for distributed monitoring and screening systems and strengthens the second and third lines of defence.

Components:

- Management information
- Compliance performance planning & tracking
- Policy, procedures & rule management
- Governance control & archiving
- Comprehensive reporting

Benefits:

- Reduce cost for labour and external workforce
- Increase efficiency and agility ("Digital Compliance Factory")
- Increase quality by reducing manual entries
- Increase compliance resilience with information at your fingertips
- Transparency in the implementation of compliance policies

Ready for the future? We offer comprehensive advice & clever technologies for the Compliance Factory of tomorrow.

Contact us to learn more about our Anti-Financial Crime Governance Platform or to make an appointment directly with one of our experts: +49 69 580045-0 or



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